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PATENT 600-1-200NCIP2



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): YONGWON CHOI *et al.*

SERIAL NO.: 09/873,829

EXAMINER: UNKNOWN

DATE FILED: June 4, 2001

ART UNIT: 1646

FOR: A PROTEIN BELONGING TO THE TNF SUPERFAMILY  
INVOLVED IN SIGNAL TRANSDUCTION, NUCLEIC ACIDS  
ENCODING SAME, AND METHODS OF USE THEREOF

**CERTIFICATE OF FACSIMILE TRANSMISSION**

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David A. Jackson, Reg.No. 26,742  
(Name of Depositor)

*David A. Jackson* 6/17/02  
(Signature and Date)

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

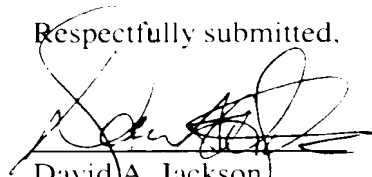
In accordance with Applicant's and Applicant's representatives' Duty of Disclosure under 37 CFR § 1.56, and pursuant to 37 CFR § 1.97 and MPEP 717.05(b), Applicant(s) submit herewith documentary information for consideration by the Examiner. Information herein cited is only set forth in fulfillment of Applicant's duty of candor in disclosing all information brought to his attention, and is not an admission that it can be used adversely. The publication forwarded herewith is listed as document BQ through BW on the enclosed Form PTO-1449. Applicant(s) request that the Examiner, upon reviewing the enclosed material, initial the enclosed form and return a copy thereof in accordance with the instructions on the form.

All the publications (AA through BP) listed on the attached Form PTO-1449 dated September 17, 1999 were either cited by the Examiner or already supplied with an Information Disclosure Statement in connection with Application U.S. Serial No. 09/210,115 filed on December 11, 1998. Pursuant to 37 CFR § 1.98(d), copies of which references are not enclosed.

Enclosed also find a copy of the References listed on the attached Form PTO-1449 dated June 17, 2002. No fee is believed due for the filing of this statement, however, should the Patent

and Trademark Office determine otherwise, authorization is hereby given to charge Deposit Account No. 11-1153 for this filing.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "David A. Jackson", is written over a horizontal line.

David A. Jackson  
Attorney for Applicants  
Registration No. 26,742

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Dated: June 17, 2002



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Sheet 1 of 2

Form PTO-1449 IRSY. 7.801 U.S. Department of Commerce Patent and Trademark Office	ATTORNEY DOCKET NO.	600-1-200N CIP2
	SERIAL NO.	09/873.829
LIST OF DOCUMENTARY INFORMATION CITED BY APPLICANT (Use several sheets if necessary)	APPLICANT	Yongwon Choi et al.
	FILING DATE	June 4, 2001
	GROUP	1646

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLAS S	SUB- CLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLAS S	SUB- CLASS	TRANSLATION YES NO
	BQ	WO 98/28424	7/02/98	PCT			

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	BR	Anderson, Dirk M. et al., Nature, Vol. 390 pgs. 175-179; 11/13/97
	BS	Wong, Brian R. et al., Journal Experimental Medicine, Vol. 186 No. 12, pgs. 2075 - 2080; 12/15/97
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	BW	Lacey, D.L. et al., Cell, Vol. 93 pgs. 165-176; 4/17/98
EXAMINER:		DATE CONSIDERED:
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PATENT 600-1-200NCIP

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Choi et al.

SERIAL NO.: 09/210,115

EXAMINER: UNASSIGNED

DATE FILED: December 11, 1998

ART UNIT: 1643

FOR: PROTEIN BELONGING TO THE TNF SUPERFAMILY INVOLVED  
IN SIGNAL TRANSDUCTION, NUCLEIC ACIDS ENCODING  
SAME, AND METHOD OF USE THEREOF

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Wang 09/17/99

(Signature and Date)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT**

In accordance with Applicant's and Applicant's representatives' Duty of Disclosure under 37 CFR § 1.56, and pursuant to 37 CFR §1.97 and MPEP 717.05(b), Applicant(s) submit herewith documentary information for consideration by the Examiner. Information herein cited is only set forth in fulfillment of Applicant's duty of candor in disclosing all information brought to his attention, and is not an admission that it can be used adversely. The publications forwarded herewith are listed on the enclosed Form PTO-1449. Applicant(s) request that the Examiner, upon reviewing the enclosed materials, initial the enclosed form and return a copy thereof in accordance with the instructions on the form.

All the publications (AA through BP) listed on the attached Form PTO-1449 were either cited by the Examiner or already supplied with an Information Disclosure Statement in connection with Application U.S. Serial No. 09/034,099 filed on March 3, 1998, and Application U.S. Serial No. 08/989,479. Pursuant to 37 CFR § 1.98(d), copies of the cited references are

hereby not enclosed.

No fee is believed due for the filing of this statement inasmuch as it is being filed before the mailing of the first official action on the merits. However, should the Patent and Trademark Office determine otherwise, authorization is hereby given to charge Deposit Account No. 11-1153 for this filing.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Michael A. Yamin".

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Form PTO-1449 IRSY. 7.801  
U.S. Department of Commerce  
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LIST OF DOCUMENTARY  
INFORMATION  
CITED BY APPLICANT

ATTORNEY DOCKET NO.: 600-1-200NCIP

SERIAL NO.: 09/210,115  
APPLICANT: Choi et al.  
FILING DATE: December 11, 1999  
GROUP: 1643

OTHER PRIOR ART (Including Author, Date, Pertinent Pages, Etc.)

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DATE CONSIDERED:

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